

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Francis C. Carroll, Jr.  
Application No: 10/797,934  
Filed: March 11, 2004  
Inventor: Sports shoe cleats

Group Art Unit: 3728  
Confirmation No. 4211  
Examiner: Marie D. Patterson

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

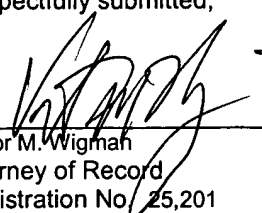
Sir:

Pursuant to 37 CFR §§ 1.56, 1.97 and 1.98, Applicant(s) wish to make of record the references listed on the attached form PTO/SB/08 (substitute for PTO-1449). Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

- ☒ This Information Disclosure Statement is being filed (a) within three months of the filing date of the subject patent application, OR (b) before the mailing date of a first Office Action on the merits. No certification or fee is required.
- ☐ This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ Each item of information contained in this information disclosure statement was cited for the first time in any communication from a foreign patent office in any counterpart foreign application not more than three months prior to the filing of this statement. No fee is required.
- ☐ No item of information contained in this information disclosure statement was cited for the first time in any communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement. No fee is required.
- ☐ A check in the amount of \$180.00 is enclosed under 37 CFR §1.17(p).
- ☐ This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. A check in the amount of \$180.00 is enclosed under 37 CFR §1.17(p).
- ☐ Each item of information contained in this information disclosure statement was cited for the first time in any communication from a foreign patent office in any counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited for the first time in any communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.
- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 23-2185. A duplicate copy of this sheet is enclosed.

Blank Rome LLP  
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Customer No.: 27557  
Date:

Respectfully submitted,

  
\_\_\_\_\_  
Victor M. Wigham  
Attorney of Record  
Registration No. 25,201



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of )

Francis C. CARROLL )

Application number: 10/797,934 )

Filing date: March 11, 2004 )

Reissue of U.S. Patent No. 6,530,162 )

Issued March 11, 2003 )

For: SPORTS SHOE CLEATS )

Examiner: Marie D. Patterson

Confirmation No. 4211

Art Unit 3728

**CONCISE EXPLANATION OF RELEVANCE UNDER 37 C.F.R. § 1.98(a)(3)(i)**

Mail Stop Reissue  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In support of the Information Disclosure Statement filed concurrently herewith, the cited references are relevant because they, or foreign-language equivalents, have been cited in opposition proceedings in related European and Japanese patent applications.

Moreover, the Japanese references having the kind code "S" are registered designs, the equivalent of design patents in the United States. Therefore, the relevance of the "S" references stems from their drawings.

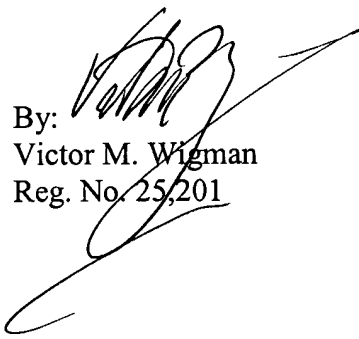
The German '430 reference is a utility model and is directed to a cleat for soccer shoes to prevent sliding on slippery surfaces. The opposer cited Fig. 5 of that reference. Fig. 5 and the corresponding text appear to disclose the manner in which the polyp bars of the cleats of the reference spread in contact with the soil, exposing a spike point 17 which causes the largest slide

reduction. Once the cleat is no longer in contact with the soil, the polyp bars return to their original position and surround the spike point.

For all other references not in English, abstracts in English have been obtained and attached to those references.

Respectfully solicited,

Francis C. Carroll

By:   
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/797,934 (Conf. No. 4211)
Filing Date	March 11, 2004
First Named Inventor	Francis C. Carroll
Art Unit	3728
Examiner Name	Marie D. Patterson
Attorney Docket Number	118839-00101

Sheet 2

3

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP 832081 S	12-12-1991			
		JP 832081-001 S	03-13-1992			
		JP 832081-002 S	04-13-1992			
		JP 9-37804 A	02-10-1997	Y. Honma		abstract
		EP 0 524 861 A1	01-27-1993	J. L. Bouyer		abstract

Examiner Signature	Date Considered
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*(Use as many sheets as necessary)*

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Sheet

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Attorney Docket Number

118839-00101

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[illegible]

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		WO 91/15131 A1	10-17-1991	P. A. Kelly		In English
		WO 98/35575 A1	08-20-1998	J. J. Curley Jr.		In English
		GB 2 053 658 A	02-11-1981	A. A. Dassler		In English
		DE 1 962 430 U	06-15-1967	H. Goldschmidt	Fig. 5	

Examiner  
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Date	
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